Sir/Madam,

First of all, I would like to congratulate you for putting up an tremendous work in containment of COVID-19 in your States. In view of the upsurge in number of COVID-19 cases in India with rise in involvement of multiple States and Union Territories, there is huge requirement of Human Resources for management of correct data for analysis and decision making at the competent authority level.

It becomes difficult to get clarity on picture for the country when the data is either incomplete or not clear. In this regard, an expert committee has decided to revise the case investigation form (CIF) which is enclosed with this letter and you can take support of WHO-NPSP to get the CIF filled for all the confirmed and suspected cases in your State. Standard Operating Procedure (SOP) for filling up of the CIF has been attached for your reference.

The filled CIFs need to be shared with the Central Surveillance Unit (CSU), IDSP NCDC for better utilization of data for further policy decisions.

With regards,

Enclosures:

1. Case Investigation Form for COVID-19 (CIF)
2. SOP for filling the Case Investigation Form

Yours sincerely,

(Sujeet Kumar Singh)

To,

Mission Director of all States/UTs

Copy for information to:

1. Principal Secretary Health and Family Welfare of all States/UTs
2. State Surveillance Officers of all States/UTs
3. WHO Representative to India, India Country Office
4. Team Leaders WHO-NPSP of all States/UTs
## A. PATIENT INFORMATION

1. **Name of patient:**
   - **Age:** yr mo ( / / )
   - **Gender:** M/F
   - **Date of interview:**

2. **Name of Health Facility where isolated:**
   - **District (Isolation facility):**
   - **State (Isolation facility):**

3. **Name of interviewer**
   - **Designation of interviewer:**
   - **Contact Number of interviewer:**

4. **Case Classification:**
   - Confirmed [ ]
   - Suspect [ ]

5. **Current status of case:**
   - Stable [ ]
   - Admitted in ICU [ ]
   - Deceased [ ]

## B. SOCIODEMOGRAPHIC PROFILE

- **Nationality:** Indian [ ]
  - Non-Indian (Name of country) [ ]

- **Father's name:**
- **House No.:**
- **Setting:** Rural / Urban

- **Village/Mohalla:**
- **Block:**
- **District:**
- **State:**
- **Phone number:**
- **email id:**

## C. CLINICAL INFORMATION

1. **Patient clinical course**

   1.1 **Date of Onset of symptoms:** / / ; **Initial Symptoms:**

   1.2 **Details of contact with health facility after the date of onset**

   - **Name of facility:**
   - **Address:**
   - **Phone number:**
   - **Dates case visited:**
     - Did health facility report the case [Yes/No]

   1.3 **Date of admission in isolation facility:**

   1.4 **Outcome (encircle):**
     - Under treatment [ ]
     - Discharged [ ]
     - LA/MA [ ]
     - Died [ ]
     - **Date of outcome (if applicable):**

   1.5 **Cause of death (As mentioned in death certificate):**

2. **Patient Symptoms at admission (encircle all reported)**

   - a) Fever/chills
   - b) Sore throat
   - c) Headache
   - d) General weakness
   - e) Breathlessness
   - f) Irritability/confusion
   - g) Cough
   - h) Diarrhea
   - i) Runny nose
   - j) Pain (encircle): muscular, chest, abdominal, joint
   - k) Irritability/confusion
   - l) Any other (specify)

3. **Patient signs at admission:**

   - **Details of following signs to be taken from the case sheet if the patient is admitted:**
     - a) Temperature (in Fahrenheit):
     - b) Abnormal Lung X-Ray / CT scan findings: Yes / No
     - c) Coma: Yes / No
     - d) Stridor: Yes / No
     - e) Tachypnoea: Yes / No
     - f) Seizure: Yes / No
     - g) Redness of eyes: Yes / No
     - h) Abnormal lung auscultation: Yes / No
     - i) Any other (specify)

4. **Underlying medical conditions (encircle all that apply)**

   - a) COPD
   - b) Hypertension
   - c) Chronic neurological or neuromuscular disease
   - d) Chronic Renal Disease
   - e) Asthma
   - f) Heart disease
   - g) Bronchitis
   - h) Pregnancy (trimester)
   - i) Immuno-compromised condition including HIV, TB
   - j) Malignancy
   - k) Post-partum (< 6 weeks)
   - l) Any other (mention)
   - m) Diabetes
   - n) Liver Disease
   - o) None

## D. EXPOSURE HISTORY

5. **Occupation (circle):**
   - Student
   - Businessman
   - Health care worker
   - Health care lab worker
   - Animal handler
   - Any other (specify)

6. **H/O contact with COVID-19 case (encircle):**
   - Lab confirmed case of COVID-19 / Suspect case under investigation / No contact / Not known; (If contact with lab confirmed case, mention its EPID number: COV-IND-)

   6.1 **If contact is with lab confirmed COVID-19 case, then mention contact setting (encircle all that apply):**

     a) While taking samples
     - b) Visit to a place where COVID-19 investigations cases are treated / sampled (specify)
c) Clinical care of case (among HCW)  
d) Immigration Staff at Point of Entry  
e) Housekeeping (Hospital)  
f) Caregiver of the case (Specify details of case)  
g) Living in the same household  
h) Providing services to the household  
i) Living in the neighborhood  
j) Others, Specify  

<table>
<thead>
<tr>
<th>7</th>
<th>Is patient a member of a cluster of patients with severe acute respiratory illness (e.g., fever and pneumonia requiring hospitalization) or COVID-19? Yes/No</th>
</tr>
</thead>
<tbody>
<tr>
<td>8</td>
<td>Patient attended festival or mass gathering in last 1 month? (Yes/No/Unknown) if yes, specify:</td>
</tr>
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</table>

**E** TRAVEL HISTORY

9 Have you travelled outside India in the past one month? Yes/No. If yes, then fill details in Q. 9.1 onwards else skip to Q.10

<table>
<thead>
<tr>
<th>9.1</th>
<th>Name of the country (City)</th>
<th>Date of arrival</th>
<th>Date of departure</th>
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<th>9.2</th>
<th>Did you visit Wuhan (yes/no) During your stay, did you visit any animal market? Yes/No</th>
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<thead>
<tr>
<th>9.3</th>
<th>Date of arrival in India (Including transit flights in India):</th>
<th>Flight No:</th>
<th>Seat No:</th>
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10 Have you travelled within India in the past one month? Yes/No. If no, skip to Section F  
If yes, details of places visited in chronological order; flight / train / vehicle number; seat/berth, coach number etc

<table>
<thead>
<tr>
<th>a)</th>
<th>Place &amp; Duration of stay:</th>
<th>Date of arrival:</th>
<th>Date of departure:</th>
<th>Mode of travel:</th>
<th>Details:</th>
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<tr>
<th>b)</th>
<th>Place &amp; Duration of stay:</th>
<th>Date of arrival:</th>
<th>Date of departure:</th>
<th>Mode of travel:</th>
<th>Details:</th>
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<tr>
<th>c)</th>
<th>Place &amp; Duration of stay:</th>
<th>Date of arrival:</th>
<th>Date of departure:</th>
<th>Mode of travel:</th>
<th>Details:</th>
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**F** LABORATORY INFORMATION (to be obtained from treating physician/DSO)

11 Sample collected for confirmation of COVID-19 case: Yes / No. If Yes, fill the details and update the results

<table>
<thead>
<tr>
<th>a)</th>
<th>Type of sample collected</th>
<th>Name of sample collection center</th>
<th>Date of sample collection</th>
<th>Sent to which Lab</th>
<th>Result (Positive/Negative)</th>
<th>Date of lab result</th>
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Reason if sample not collected:  

b) Name of lab that confirmed result:  

**G** CLINICAL COURSE (Complication) Encircle where applicable

12a) Hospitalization: Yes / No | Date of hospitalization: |

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<tr>
<th>b)</th>
<th>ICU Admission: Yes / No</th>
<th>Date of ICU admission:</th>
<th>Date of discharge from ICU:</th>
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Mechanical Ventilation: Yes / No | Date of mechanical ventilation Start: | Date of mechanical ventilation Stop: |

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<thead>
<tr>
<th>ARDS: Yes / No</th>
<th>Cardiac failure: Yes / No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pneumonia by Chest X ray: Yes / No</td>
<td>Acute Renal Failure: Yes / No</td>
</tr>
<tr>
<td>Consumptive coagulopathy: Yes / No</td>
<td>Other complication: Yes / No, if yes please specify:</td>
</tr>
</tbody>
</table>

**H** PUBLIC HEALTH RESPONSE

<table>
<thead>
<tr>
<th>a)</th>
<th>Total no. of high risk contacts: ; No. of high risk contacts traced: ; No. of samples collected in high risk contacts: ; No. of high risk contacts tested positive:</th>
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</table>

No. of high risk contacts tested positive:  

b) Total no. of low risk contacts: ; No. of low risk contacts become symptomatic: ; No. of low risk contacts tested: ; No. of low risk contacts tested positive:  

<p>| | |</p>
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SOP (Standard Operating Procedures) for investigation of a suspected COVID-19 case using Case Investigation Form (CIF)

Case investigation is crucial for the disease confirmation and to identify the magnitude of public health response. All suspected COVID-19 cases notified as per the case definition should be investigated by a clinician/medical officer within 24 hours of case-notification using the standardized Case Investigation Form, if it comes under the following case definitions.

COVID-19 Case Definitions

Suspect Case:
A patient with acute respiratory illness (fever and at least one sign/symptom of respiratory disease (e.g., cough, shortness of breath) AND a history of travel to or residence in a country/area or territory reporting local transmission (See NCDC website for updated list) of COVID-19 disease during the 14 days prior to symptom onset;
OR A patient / health care worker with any acute respiratory illness AND having been in contact with a confirmed COVID-19 case in the last 14 days prior to onset of symptoms;
OR A patient with severe acute respiratory infection (fever and at least one sign/symptom of respiratory disease (e.g., cough, shortness of breath) AND requiring hospitalization AND with no other aetiology that fully explains the clinical presentation;
OR A case for whom testing for COVID-19 is inconclusive

Laboratory Confirmed case: A person with laboratory confirmation of COVID-19 infection, irrespective of clinical signs and symptoms.

The detailed information of the suspected case along with core variables should be captured in both pages of the CIF by the investigating officer.

Key components for filling up the Case Investigation Form:

- Fill-up the “Case Investigation Form” (CIF) on both pages during examination
- Allot EPID no, a unique identifier for every suspected case that is investigated
  - Eg. COV-IND-ST-DIS-YR-Case number
  - First 3 character signifies disease, next 3 characters for country code, next 2 for state code, next 3 for district code, next 2 for year of disease onset and next 4 is the serial no. of the case in that year in the same district
  - Ex: First case of Patna Bihar: COV-IND-BI-PAT-20-0001
  - DSO should assign this EPID no for every investigated case on CIF.
**A.** Complete case identification details including name, age, sex, details of isolation facility, case classification and status

**B.** Collect socio demographic details of case like father's name, address and contact details

**C.** Take clinical history and examine the suspected COVID-19 case for signs and symptoms

- **Date of onset of symptom** is the most important date which should be strictly assessed along with nature of initial symptom (for eg. bodyache/fever/cough/breathlessness/sore throat etc.)
- Fill-up the health facility contacts after date of onset of symptom. These are the hospitals/clinic, case has taken consultation/treatment before getting reported, which will further help to identify the need to build the capacity
- Capture the signs, symptoms at time of admission
- Capture the underlying medical conditions

**D.** Exposure history:

- Take significant exposure history of suspected case, to identify the person/area/country from where case picked up infection
- Explore further contact setting if there is exposure to lab confirmed COVID-19 case including exposure while taking samples, during travel/clinical care of case/living in same household/providing services to the same household
- Seek history about occurrence of cluster of patients with severe acute respiratory illness or COVID-19 at his place of residence/work/neighbourhood
- Explore exposure to mass gathering in past one month before the onset of symptom

**E.** Travel history:

- Take epidemiologically significant travel history of suspected case for travel outside and within India for past one month before the onset of symptom
- Patient travel history can be taken in chronologic order starting from one month back from onset of symptoms

**F.** Laboratory Information:

- The clinician should decide necessity for collection of clinical specimens for laboratory testing of cases only after following the case definition as given by the health authorities, Government of India.
- Appropriate clinical sample need to be collected by laboratory personnel/health care worker trained in specimen collection by following all biosafety precautions and using personal protective equipment (PPEs)
- Clinical samples need to be sent to the designated laboratory by following standard triple packaging
• Collect the information on the sample collected including type of sample, name of sample collection centre, date of sample collection, sample shipment to laboratory and results
• Identify and mention the reason for not collecting samples

G. Patients Symptoms:
• Collect hospitalisation history including onset of any complications

H. Public Health Response:
• Identify high and low risk contacts
• High-risk contact includes:
  • Lives in the same household as the confirmed case.
  • Touched body fluids of the confirmed case (respiratory tract secretions, blood, vomit, saliva, urine, faeces)
  • Had direct physical contact with the body of the confirmed case including physical examination without PPE.
  • Touched or cleaned the linens, clothes, or dishes of the confirmed case.
  • Anyone in close proximity (within 1 m) of the confirmed case without precautions.
  • Passenger in close proximity (within 1 m) of a conveyance with a symptomatic person who later tested positive for COVID-19 for more than 6 hours.
• Low-risk contact include:
  • Shared the same space (same class for school/worked in same room/similar) and not having a high-risk exposure to confirmed case of COVID-19.
  • Travelled in same environment (bus/train/flight/any mode of transit) but not having a high-risk exposure.
• Collect the information on number of high risk contacts traced, numbers quarantined, numbers of these high-risk contacts tested and subsequently turning out positive for COVID-19
• Collect the information on low risk contacts traced and number of such contacts turning symptomatic and tested for COVID-19.

Important: Keep the CIF updated with all information including health facilities visited, laboratory results and public health response
Form A
NATIONAL CENTRE FOR DISEASE CONTROL
(To be filled COVID-19 Acute Respiratory Disease)

A PATIENT INFORMATION
1. Name of patient: Age: ____yr ____mo (___/___/_____) Date of interview:
   Gender: M/F, Religion: H / M / O

2. Name of Health Facility where isolated: District (Isolation facility):
   State (Isolation facility):

3. Name of interviewer Designation of interviewer: Contact Number of interviewer:

4. Case Classification: Confirmed ☐ Suspect ☐

5. Current status of case: Stable ☐ Admitted in ICU ☐ Deceased ☐

B SOCIODEMOGRAPHIC PROFILE
Nationality: Indian Non-Indian (Name of country) …………………………………..
Father’s name: House No.
Setting: Rural / Urban
Village/Mohalla: District: Phone number:
Block: State: email id:

C CLINICAL INFORMATION
1 Patient clinical course
1.1 Date of Onset of symptoms: ____ /_____ / ________; Initial Symptoms:
1.2 Details of contact with heath facility after the date of onset
Name of facility: Address:
Phone number:
Dates case visited:
Did health facility report the case Yes/No

1.3 Date of admission in isolation facility:
1.4 Outcome (encircle): Under treatment/ Discharged/ LAMA/ Died
1.5 Date of outcome (if applicable) __/__/____
1.6 Cause of death (As mentioned in death certificate):

2 Patient Symptoms at admission (encircle all reported)
a) Fever/chills b) Sore throat c) Nausea/Vomiting
d) General weakness e) Breathlessness f) Headache
g) Cough h) Diarrhea i) Irritability/confusion
j) Runny nose k) Pain(encircle): muscular, chest, abdominal, joint
l) Any other(specify)

3 Patient signs at admission: Details of following Signs to be taken from the case sheet if the patient is admitted
a) Temperature (in Fahrenheit): b) Abnormal Lung X-Ray /CT scan findings: Yes / No
c) Coma: Yes / No
d) Stridor: Yes / No e) Tachypnoea: Yes / No f) Seizure: Yes / No
g) Redness of eyes: Yes / No h) Abnormal lung auscultation: Yes/ No i) Any other(specify):

4 Underlying medical conditions (encircle all that apply)
a) COPD b) Hypertension c) Chronic neurological or neuromuscular disease
d) Chronic Renal Disease e) Asthma f) Heart disease
g) Bronchitis h) Pregnancy (trimester) i) Immune compromised condition including HIV, TB
j) Malignancy k) Post-partum (< 6 weeks) l) Any other(mention)
m) Diabetes n) Liver Disease o) None

D EXPOSURE HISTORY
5 Occupation (circle): Student/ Businessman/ Health care worker/Health care lab worker/ animal handler/ any other (specify)…………………..

6 H/O contact with COVID-19 case (encircle): Lab confirmed case of COVID-19 / Suspect case under investigation / No contact / Not known; (If contact with Lab confirmed case, mention its EPID number: COV-IND-____ __ __ __ __ __ __)
6.1 If contact is with lab confirmed COVID-19 case, then mention contact setting (encircle all that apply)
a) While taking samples/ other investigations b) Visit to a place where COVID-19 cases are treated/ sampled (specify)
c) Clinical care of case (among HCW)

d) Immigration Staff at Point of Entry (details of place)

e) Housekeeping (Hospital)

f) Caregiver of the case (specify details of case)

g) Living in the same household

h) Providing services to the household

i) Living in the neighborhood

j) Others, Specify

7 Is patient a member of a cluster of patients with severe acute respiratory illness (e.g., fever and pneumonia requiring hospitalization) or COVID 19? Yes/No

8 Patient attended festival or mass gathering in last 1 month? (Yes/No/Unknown) if yes, specify:

E TRAVEL HISTORY

9 Have you travelled outside India in the past one month? Yes/ No. If yes, then fill details in Q. 9.1 onwards else skip to Q.10

9.1 Name of the country (City) Date of arrival Date of departure

9.2 Did you visit Wuhan (yes/no) During your stay, did you visit any animal market? Yes/No

9.3 Date of arrival in India (Including transit flights in India): _____/_____/_____ Flight No: Seat No:

10 Have you travelled within India in the past one month? Yes/ No. If no, skip to Section F

If yes, details of places visited in chronological order; flight / train / vehicle number; seat/berth, coach number etc

a) Place & Duration of stay: Date of arrival: Date of departure: Mode of travel: Details:

b) Place & Duration of stay: Date of arrival: Date of departure: Mode of travel: Details:

c) Place & Duration of stay: Date of arrival: Date of departure: Mode of travel: Details:

F LABORATORY INFORMATION (to be obtained from treating physician/DSO)

11 Sample collected for confirmation of COVID-19 case: Yes / No, if Yes, fill the details and update the results

a) Type of sample collected Name of sample collection center Date of sample collection Sent to which Lab Result (Positive/Negative) Date of lab result

Reason if sample not collected:

b) Name of lab that confirmed result:

G CLINICAL COURSE (Complication) Encircle where applicable

12a) Hospitalization: Yes / No Date of hospitalization:

b) ICU Admission: Yes / No Date of ICU admission: Date of discharge from ICU:

Mechanical Ventilation: Yes / No Date of mechanical ventilation Start: Date of mechanical ventilation Stop:

ARDS: Yes / No Cardiac failure: Yes / No

Pneumonia by Chest X ray: Yes / No Acute Renal Failure: Yes / No

Consumptive coagulopathy: Yes / No Other complication: Yes / No, if yes please specify:

H PUBLIC HEALTH RESPONSE

a) Total no. of high risk contacts: _____; No. of high risk contacts traced:______;

No. of samples collected in high risk contacts: _____; No. of high risk contacts developed symptoms ________;

No. of high risk contacts tested positive:______

b) Total no. of low risk contacts: _______ No. of low risk contacts become symptomatic: ______

No. of low risk contacts tested:______ No. of low risk contacts tested positive:______